

3/15/04

Sheet 1 of 3

Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

DOCKET NO: D-2804 CON2

APPLN. NO.: N/A

APPLICANT: Beck et al

FILING DATE: herewith

GROUP ART: # N/A

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE
JP	4	3	8	3	9	9	2	05/1983	Lipari	—	—	
JP	5	4	7	2	9	5	4	12/1995	Loftsson	—	—	
JP	0	0	7	6	4	4	9	06/2002	Beck et al.	—	—	
JP	0	1	9	8	1	7	4	12/2002	Lyons	—	—	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO
JP	0	7	9	4	7	8	3	05/2003	Europe	—	—		

**OTHER DOCUMENTS**

JP	U.S. Patent Application Serial No. 10/764,057, filed January 24, 2004.
JP	Nina Sadlej-Sosnowska, "Influence of the Structure of Steroid Hormones on Their Association with Cyclodextrins: A High-Performance Liquid Chromatography Study," <i>Journal of Inclusion Phenomena and Molecular Recognition in Chemistry</i> , 27: 31-40, 1997.
JP	Klein et al., "Predicting the Free Energies of Complexation Between Cyclodextrins and Guest Molecules: Linear versus Nonlinear Models," <i>Pharmaceutical Research</i> , Vol. 17, No. 3, 2000.
JP	Zhao et al., "Predicting Solubility in Multiple Nonpolar Drugs-Cyclodextrin System," <i>Journal of Pharmaceutical Sciences</i> , Vol. 91, No. 11, November 2002.
	Hirayama et al., "Methods of Investigating and Preparing Inclusion Compounds," <i>Cyclodextrins and Their Industrial Uses</i> , Chapter 4, pp. 113-172, Editor Dominique Duchêne, Editions de Santé, 19, rue Louis-le-Grand, Paris.

EXAMINER

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

2 of 3

Form PTO-1449

Docket Number: D-2604

CON 2

Application Number: N/A

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

Applicant: BECK ET AL

Filing Date: HERewith

Group Art Unit: N/A

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE
JS	4	3	8	3	9	9	2	4/83	LIPARI	—	—	
	4	4	7	0	9	6	5	9/84	WOLF ET AL	—	—	
	4	7	2	8	5	0	9	3/88	SHIMIZU ET AL	—	—	
	4	9	7	5	4	2	8	12/90	SHELL	—	—	
	5	0	5	1	4	0	2	9/91	KURIHARA ET AL	—	—	
	5	2	2	7	3	7	2	7/93	FOLKMAN	—	—	
	5	1	3	4	1	2	7	7/92	STELLA ET AL	—	—	
	5	3	3	2	5	8	2	7/94	BABCOCK ET AL	—	—	
	5	3	7	6	6	3	2	12/94	KONINGS ET AL	—	—	
	5	3	6	2	7	5	8	11/94	AHMED	—	—	
	6	1	0	6	7	3	8	8/2000	WOO ET AL	—	—	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO
JS	6	0	5	2	0	3		8/7/94	EP	—	—		
	9	4	1	5	5	8	2	21/7/94	PCT	—	—		
	3	0	4	8	6	5	5		JAPANESE				
	2	2	6	2	5	1	8		JAPANESE				

**OTHER DOCUMENTS**

X	AUSTRALIAN CONVENTION APPLICATION OF PATENT APPLICATION NO. 62-140730.
X	RAJEWSKI ET AL, JOURNAL OF PHARMA. SCIENCES, VOL. 85, PGS. 1142-1169 NO. 11, 11/96.
X	LOFTSSON ET AL, DRUG DEVEL. & INDUST. PHARMACY, 18(13), 1477-1484 (1992).
X	JANSEN ET AL, LENS & EYE TOXICITY RESEARCH, 7(3&4), 459-468 (1990).

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Docket Number: 0-2804 CON 2

Application Number: N/A

**Applicant: BECK ET AL**

Filing Date: Herewith

Group An Unit: N/A

**EXAMINER  
INITIAL**

**DOCUMENT SUMMARY**

DATE \_\_\_\_\_

## KAMG

**CLASS**

**SUBCLASS:**

**ESTD DATE  
OF APPROPRIATE**

**DOCUMENT NUMBER**

DATE \_\_\_\_\_

**COUNTRY**

**CLASS**

SUBCLASS

**TRANSLATION**

YES	NO
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YES	NO
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### OTHER DOCUMENTS

**EXAMINER**

**DATE CONSIDERED**

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Form PTO-1093

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

DOCKET NO: D-2804CON2

APPL. NO.: 10/800,992

APPLICANT: Beck et al.

FILING DATE: 3/15/04

GROUP ART: #

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE
[Signature]	4	8	7	7	7	7	4	10/1989	Pitha et al.			
	5	0	6	8	2	2	6	11/1991	Weinshenker et al.			
	5	2	2	9	3	7	0	07/1993	Ammeraal			
	5	3	2	4	7	1	8	06/1994	Loftsson			
	5	3	7	6	6	4	1	12/1994	Ammeraal			
	5	3	7	6	6	4	5	12/1994	Stella et al.			
	5	5	7	6	3	1	1	11/1996	Guy			
	5	5	8	7	1	7	5	12/1996	Viegas et al.			
	5	6	6	3	1	7	0	09/1997	Ushio et al.			
	5	7	4	7	0	6	1	05/1998	Amselem et al.			
	5	7	6	0	0	1	7	06/1998	Djedaini-Pilard et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO

## OTHER DOCUMENTS


EXAMINER

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Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
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DOCKET NO: D-2804CON2

APPLN. NO.: 10/800,992

APPLICANT: Beck et al.

FILING DATE: 3/15/04

GROUP ART: #

**U. S. PATENT DOCUMENTS**

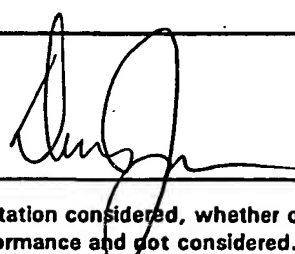
EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE
DY	6	3	5	8	9	3	5	03/2002	Beck et al.			
	6	4	6	8	5	4	8	10/2002	Kis			
	6	4	9	5	1	2	0	12/2002	McCoy et al.			
	6	6	9	6	4	2	6	02/2004	Singh et al.			
	0	0	1	2	6	8	0	01/2002	Patel et al.			
	0	0	3	5	2	6	4	03/2002	Kararli et al.			
	0	0	5	5	4	9	6	05/2002	McCoy et al.			
	0	0	7	6	4	4	9	06/2002	Beck et al.			
	0	1	9	8	1	7	4	12/2002	Lyons			
	0	0	2	7	7	9	0	02/2003	Singh et al.			
	0	1	0	9	4	9	2	06/2003	Loftsson			
	0	0	1	9	0	1	2	01/2004	Singh et al.			

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